

PRINTER RUSH
(PTO ASSISTANCE)

HC Corr

Application : 09/895,605 Examiner : Holmes GAU : 2121

From: BAB Location: IDC FMF FDC Date: 12/20/05

Tracking #: _____ Week Date: _____

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<input type="checkbox"/> 1449	_____	<input type="checkbox"/> Continuing Data
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[RUSH] MESSAGE: Please reapply issue fee
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CP

[XRUSH] RESPONSE: None

INITIALS: R.C.

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REV 10/04



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Complete and send this form together with applicable fee(s), to: Mail

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22862 7590 08/24/2005

GLENN PATENT GROUP
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Della Revecha (Depositor's name)
[Signature] (Signature)
05 October 2005 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/893,605	06/29/2001	Daniel Seefelt	BARR0005	6760

TITLE OF INVENTION: METHOD AND APPARATUS FOR AN INTEGRATIVE MODEL OF MULTIPLE ASSET CLASSES

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$0	\$0	\$0	11/23/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
HOLMES, MICHAEL B	2121	706-062000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Michael A. Glenn
2 Glenn Patent Group
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

BARRA Inc.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Berkeley, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above).

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(e)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Michael A. Glenn

Date

05 October 2005

Typed or printed name

Registration No.

30,176

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